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PATENT

I hereby certify that this paper is being deposited in the United States Postal Service as first class mail on the date specified below to BOX MISSING PARTS, Commissioner for Patents, Washington, DC 20231.

October 4, 2001  
Date

Melisa Palmer  
Melisa Palmer

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Kenneth E. Hoffman & David M. Vice

Serial No.: 09/898,899

Filed: 2 July 2001

For: **MULTIPLE, CONCURRENT DYNAMIC AUCTION EMULATION FOR  
NETWORKED ENVIRONMENTS**

Art Unit: 2152

Docket No.: 1841-1-7

Date: October 2, 2001

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF NON-PROVISIONAL  
APPLICATION**

Commissioner for Patents  
Box Missing Parts  
Washington, DC 20231

Dear Commissioner for Patents:

In response to the Notice to File Missing Parts of Application dated 22 August 2001,  
Applicant submits:

- X 1. An executed Declaration for Utility or Design Patent Application in the above identified U.S. patent application.
- X 2. An executed Power of Attorney or Authorization of Agent on behalf of each inventor in the above identified U.S. patent application.
- X 3. A copy of the NOTICE TO FILE MISSING PARTS OF APPLICATION.

FEE COMPUTATION

Filing Fee (\$740.00/ <u>\$370.00</u> )	<u>\$370.00</u>
Surcharge Fee (\$130.00/ <u>\$65.00</u> )	<u>\$ 65.00</u>
<b>TOTAL:</b>	<b><u>\$435.00</u></b>

- X   4. Check No. 17682 in the amount of \$420.00 for filing and surcharge fees.
5. Charge \$        to Deposit Account No.       . A copy of this sheet is enclosed.
- X   6. Please charge any additional fees or credit any overpayment to Deposit Account No. 07-1897.

Please consider this a petition for any additional fees that may be necessary related to this application.

Respectfully submitted,

GRAYBEAL JACKSON HALEY LLP



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## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/898,899	07/02/2001	Hoffman	1841-1-7

CONFIRMATION NO. 3608

## FORMALITIES LETTER



\*OC000000006459941\*

Stephen M. Evans  
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Suite 350  
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Date Mailed: 08/22/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 420.

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01 FC:201 370.00 OP  
02 FC:205 65.00 OP

*A copy of this notice **MUST** be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE